# Safety Assurance of Autonomous Systems

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### About Me



- Currently, A fifth year Ph.D. candidate @ Institute For Software Integrated Systems, Vanderbilt University, working @ scope lab with Professor Abhishek Dubey for DARPA's Assured Autonomy Project. (2017-Present)
- Masters in Electrical Engineering from Technical University Kaiserslautern (Germany), with Master Thesis @ Department of Cyber-Physical Systems. (2013-2015)

### Work Experience

- Summer Research Intern @ Siemens Corporate Technology, Princeton, NJ. (May – Aug 2021)
- Embedded Design Engineer @ Apsis Solutions, Bangalore, India.
  (2015-2017)



### Autonomous Cyber-Physical Systems



AI and ML have revolutionized the field of Cyber-Physical Systems. But assuring the safety of these systems is a challenge.

# Timeline of Several Critical Accidents



# Accidents are increasing with the need to achieve higher levels of autonomy

# Accident Reports of Autonomous Vehicles

### Tesla Accident – May 2016



#### **Uber Accident – March 2018**



"The Tesla's automated vehicle control system was not designed to, and did not, identify the truck crossing the car's path or recognize the impending crash"

- NTSB Report 2017

"The self-driving system software classified the pedestrian as an unknown object, as a vehicle, and then as a bicycle with varying expectations of future travel path. At 1.3 seconds before impact, the self-driving system determined that an emergency braking maneuver was needed" - NTSB Report 2018

1. TESLA Crash report <u>https://www.ntsb.gov/investigations/accidentreports/reports/har1702.pdf</u>

2. Uber Accident report <a href="https://www.ntsb.gov/investigations/AccidentReports/Reports/HAR1903.pdf">https://www.ntsb.gov/investigations/AccidentReports/Reports/HAR1903.pdf</a>

### Research Overview – Dynamic Safety Assurance



Current approaches primarily focus on safety @design-time

My research focus on safety @runtime

# Demonstration Platforms to Validate My Research





DeepNNCar Autonomous Driving Testbed

**Carla Simulation** 

### Design-Time Assurance Case (DARPA ARCOS)



Output Goal Structuring Notation

Assurance Case is a structured argument set backed by system evidence to prove that the system will safely operate in a given environment.

# Out-of-Distribution Problem





#### Shift in Operating Conditions

Adversarial Attacks

Machine Learning components are trained under a closed world assumption that the operational data is drawn IID with the training dataset, which is not always true. – Out-of-Distribution Problem

# Unsupervised Out-of-Distribution Detection



Key Concept - We systematically tune the hyperparameters of β-VAE network to **partially disentangle the latent space** and then **learn an approximate mapping** of the input to the latent variables to perform OOD detection.

#### Publications

1. Ramakrishna, S., Rahiminasab, Z., Easwaran, A., & Dubey, A. (2020, September). "Efficient Multi-Class Out-of-Distribution Reasoning for Perception Based Networks: Work-in-Progress." In 2020 International Conference on Embedded Software (EMSOFT)

2. Ramakrishna, S., Rahiminasab, Z., Karsai, G., Easwaran, A., & Dubey, A. (2021). "Efficient Out-of-Distribution Detection Using Latent Space of β-VAE for Cyber-Physical Systems." in TCPS 2020

Runtime Verification Detector (Reachability Analysis)

SysID Model

 $\dot{\boldsymbol{x}}(t) = f(\boldsymbol{x}(t), \boldsymbol{u}(t))$ 

x, y, yaw speed



Controller, steering, throttle





- System properties to be proved is expressed as runtime monitors
- Safe states identified using reachability analysis

### Out-of-Distribution Detection Demonstration in CARLA Simulation



### Risk Assessment Framework for Autonomous Systems



Github - https://github.com/scope-lab-vu/Resonate

## Risk of AV with Camera Failure



### Runtime Decision Making under uncertainty



Conventional Simplex Architecture

Reinforcement Learning Setup

- Problem Decision logic is learned offline. However, it needs to be "Proactive" and "Adaptive".
- Reinforcement learning (Q-learning) to learn dynamic decision weights.

#### Publications

1. Ramakrishna, Shreyas, et al. "Dynamic-weighted simplex strategy for learning enabled cyber physical systems." *Journal of systems architecture* 111 (2020): 101760.

2. Ramakrishna, Shreyas, et al. "Augmenting learning components for safety in resource constrained autonomous robots." 2019 IEEE 22nd International Symposium on Real-Time Distributed Computing (ISORC). IEEE, 2019.

### Crossing Track Boundaries – DeepNNCar Demo



Key Results:

 Dynamic-weighted simplex had 60% fewer out-of-track occurrences at an average speeds of 0.4 m/s as compared to either LEC or OpenCV controller driven system

# Automated Testing Framework



Name: World Description File Scenario Description: town: 5 #Avaliable towns 5,6,7. A town can have N re regions: 5 #If regions are not available, the scenar weather: cloudiness: [0,100] # min:0, max:100 precipitation: [0,100] # min:0, max:100 precipitation deposits: [0,100] # min:0, max:100 sun\_altitude\_angle: [-90,90] # min:-90, max:90 wind\_intensity: false # min:0, max:100 sun\_azimuth\_angle: false # min:0, max:100 wetness: false # min:0, max:100 fog\_distance: false # min:0, max:100 fog\_density: false # min:0, max:100 pedestrian\_density: [0,2] #false -> no pedestian, tr **#1:** false #2: false **#3:** true #4: true #5: false traffic\_density: [0,10] #false -> no traffic, true -**#1**: true #2: false **#3:** true #4: true #5: false Constraints: #A constraint can be placed on the rate of pedestrian\_density\_delta: 1 #The pedestrian\_density traffic\_density\_delta: 2 #The traffic\_density will v weather\_delta: 2 #The weather parameters will vary b Initial Conditions: # A scenario can be strated from a s weather: #A value (not range) need to be specified f cloudiness: 0 precipitation: 0 precipitation deposits: 0 sun altitude angle: 45 wind\_intensity: 0 sun azimuth angle: 0 wetness: 0 fog\_distance: 0 fog density: 0 region: 1 #scenario will start from region1 traffic density: 2 pedestrian\_density: 0

Domain-Specific Modeling language for test case generation

Github - https://github.com/scope-lab-vu/Risk-Aware-Scene-Generation-CPS

Summary



# Selected Publications

